# STATE OF CALIFORNIA OFFICE OF GOVERNOR EDMUND G. BROWN JR.

# APPLICATION FOR APPOINTMENT AS JUDGE OF THE SUPERIOR COURT

1.	Name of Applicant:
2.	Prior names used by Applicant (include the dates each name was used):
3.	Preferred Judicial Position:
	Judge of the Superior Court of the State of California, County of:
4.	If you would like to be considered for an appointment as a Superior Court Judge in another jurisdiction, please identify the county or counties in order of preference and describe your ties and connections to, and activities in, each county.
5.	Date of Birth: Place of Birth: Driver's License Number Social Security Number California Bar Number Admission Date (month/day/year) (Eligibility requirement: Member of the California State Bar for 10 years preceding your application.)
	INFORMATION FOR REPORTING PURPOSES
6.	To assist the Governor's Office with its reporting obligations (Gov. Code, §12011.5, subdivision (n)), applicants are asked to voluntarily provide their gender and race/ethnicity. Use the categories below to choose the one(s) with which you most closely identify.
Plea	ase identify your gender: Male Female
	American Indian or Alaska Native: A person having origins in any of the original peoples of North and South America (including Central America), and who maintain tribal affiliation or community attachment.
	Asian: A person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian subcontinent. The category includes, but is not limited to people that identify themselves as Cambodian, Chinese, East Indian, Filipino, Japanese, Korean, Malaysian, Pakistani, Thai, or Vietnamese.
	Black or African American: A person having origins in any of the original peoples of

Ш	Hispanic: A person of Cubar other Spanish culture or orig	•	,	entral American, or		
	Native Hawaiian or Other Pacific Islander: A person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands.					
	White or Caucasian: A person having origins in any of the original peoples of Europe, the Middle East, or North Africa.					
	Other: Self-identify your race	e or ethnicity here				
Inte side	u are expected to respond full erpret the questions broadly rele of disclosure.  RSONAL INFORMATION	_	•	-		
7.	Provide your current residence	e address and county				
	Street					
	City	County	State	Zip		
8.	Provide your previous resident approximate dates you resided		ast ten years, ar	nd provide the		
9.	Provide your preferred mailing	address, including th	e full zip code.			
	Street		<del></del>			
	City	State	Zip			
10.	Provide your current cell phone address.	e, residential telephor	ne and fax numb	er, and e-mail		
	i. Phone: ()	Cell: ()	Fax:	()		
	i. Phone: () ii. Home e-mail:					
11.						
11.	ii. Home e-mail:	States? Yes	No			

12.	Provide the full name, occupation and business address of your spouse, if married, or your domestic partner, if registered, and the names and birth dates of your children, if applicable.							
13.	Are you a registered voter?	Yes	No					
	a. Identify the county in wh	nich you are reç	gistered to	vote	County			
14.	List all current and past pol	itical party affili	ations, wit	th dates of affilia	ation.			
15.	Identify your State Senator		and A	ssembly Memb	er			
16.	Identify any languages other than English that you either understand proficiently or speak fluently.							
ED	UCATIONAL INFORMATIO	<u>N</u>						
17.	school or other institution a	Set forth your complete educational history, in inverse chronological order. For each school or other institution attended, provide the name of the institution, the dates you attended the institution, the degrees you received (if any), and the dates you received the degrees						
	Colleges/Law Schools Atte	nded From	<u>To</u>	Degree <u>Received</u>	Date <u>Received</u>			
<u>PR</u>	OFESSIONAL / CAREER IN	FORMATION						
18.	Set forth your professional your current business or pronumber, fax number, and e	ofessional addr	•					
	Professional Title							
	Business/Firm/Office							
	Street							
	City	Count	 V	State	Zip			

Phone: ()	 Fax: ()	)
E-mail:		

- 19. Describe with specificity the nature of your current employment.
- 20. If you currently practice law, identify your subject-matter areas of emphasis or specialization, if any, including but not limited to, areas of specialization certified by the State Bar of California, and a description of your typical clients.
- 21. List all courts in which you are presently admitted to practice, including the date of admission for each court. Provide the same information for administrative agencies that have special admission requirements.
- 22. If you previously applied for a judicial appointment, specify the year(s) and the position(s) sought.
- 23. Have you ever been a candidate for judicial office? If so, identify the date(s) of candidacy, the position(s) you sought, the court(s) involved, and whether you were elected.
- 24. Indicate the periods of your military service, if applicable, including the dates, the branch in which you served, your rank or rate, your serial number, and type of discharge.
- 25. Set forth your complete professional work history, including your current job, in inverse chronological order. For each job, provide:
  - a. the name and current address of the employer,
  - b. the position held,
  - c. a brief summary of the job duties and a description of your typical clients,
  - d. the name and current phone number and address of your supervisor,
  - e. the dates you held the position, and
  - f. your reason for leaving.

#### **QUALIFICATION / SUITABILITY FOR JUDICIAL APPOINTMENT**

- 26. Describe your personal background, character, personality traits, professional and life experiences, education, training, and/or skills which make you qualified and suitable for a judicial appointment and which you believe enrich your ability to serve as a judge.
- 27. What role can an attorney or judge play in making our society a better place? Relate any personal or professional efforts you have made in this regard.
- 28. Why do you want to be a judge? What would you seek to accomplish if appointed?

- 29. Are you able to perform, with or without reasonable accommodation, the essential requirements of a superior court judge? These requirements include, among other things:
  - Attentively receiving, analyzing and concentrating on information for a total of eight or more hours within a work day of reasonable duration;
  - Perceiving a courtroom clearly, reviewing all types of evidence, including exhibits;
  - Reviewing and processing substantial volumes of information, originally presented in written form (e.g., legal briefs, cases, jury instructions, transcripts);
  - Comprehending attorneys and others;
  - Absorbing, analyzing and weighing complex issues quickly and accurately; and,
  - Responding to situations with discretion, judgment, emotional and mental discipline, and restraint while under pressure.

#### SPECIFIC LEGAL EXPERIENCE

30.	Describe in	n detail yo	our experien	ce, if any	, in the f	following	areas of	legal	practice:
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- a. Civil law.
- b. Criminal law.
- c. Juvenile, family or probate law.
- d. Corporate and/or transactional law.
- e. Litigation (trial and/or appellate).
- f. Administrative.
- g. House or staff counsel.
- h. Legislative.
- i. Other legal practice (specify).
- 31. Within the past five years, approximately what percentage of your legal practice has been devoted to the following:
  - a. Litigation.
  - b. Administrative.
  - c. Legislation.
  - d. Alternate dispute resolution, including arbitration or mediation.
  - e. Other legal practice (specify).

- 32. In the past five years, describe how frequently you have done the following on behalf of a client (approximate times per month, on average):
  - a. Appeared in federal trial and/or appellate court.
  - b. Appeared in state trial and/or appellate court.
  - c. Appeared before an administrative law judge, or other tribunal.
  - d. Appeared before a board, commission, panel, legislative committee, or other body.
  - e. Participated in an alternative dispute resolution proceeding.
  - f. Participated in any other judicial or quasi-judicial proceeding.
- 33. For your entire career, state the number of cases you have tried to verdict or judgment in federal or state trial courts.
- 34. For the trials identified in the preceding answer that occurred in the last five years, provide the following additional detail (if more than five trials in the last five years, limit your response to the five most recent trials): (1) case name, (2) case number, (3) court, (4) trial date, (5) type and brief description of the case, (6) the party that you represented, (7) the judge, (8) the names, current addresses and phone numbers of counsel for the other parties, (9) the names, current addresses and telephone numbers of co-counsel, if applicable, and (10) whether it was a jury or non jury trial.
- 35. For the past five years, list the five most significant matters you have resolved without trial (e.g., by dispositive motion, settlement, negotiation). For each matter, provide the name of the entity or tribunal involved, case name, type and description of case, dates involved, party you represented, name of the judge or other decision maker, resolution or disposition, names, current addresses and telephone numbers of counsel for the other parties, and the names, current addresses and telephone numbers of co-counsel, if applicable.
- 36. For your entire career, list the ten most significant matters you have handled as counsel (which may include one or more of the matters listed in your response to the preceding questions). For each matter, provide the name of the entity or tribunal involved, case name, type and description of case, dates involved, party you represented, name of the judge or other decision maker, resolution or disposition, names, current addresses and telephone numbers of counsel for the other parties, and the names, current addresses and telephone numbers of co-counsel, if applicable.
- 37. Provide legal citations to all reported cases or decisions identifying you as a counsel of record.
- 38. If you have taught at a college, university or law school, identify the school and the dates that you taught, and describe the nature of your appointment and the course(s) you taught.

### JUDICIAL OR QUASI-JUDICIAL EXPERIENCE

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a. Ide	entify your judicial or qu	asi-judicial position:		
i.	Were you appointed? Date of appointment:		No	
ii.	Were you elected? Date of your election:		No	

Are you currently serving as a judicial officer or quasi-judicial officer:

- 40. If you have served as a judicial officer or quasi-judicial officer, provide the following information:
  - a. The dates you served as a judicial or quasi-judicial officer.
  - b. Your duties.

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- c. List ten significant cases in which you presided as the judicial officer or quasi-judicial officer. For each case, provide the case name and number, a brief description of the case, the dates involved, and the names, current addresses and telephone numbers of counsel for the parties. Furnish a copy of any opinions, orders or decisions that you rendered in those cases that included substantial discussion of legal issues.
- 41. Describe the nature and extent of your service, if any, as a judge pro tem, arbitrator, mediator or neutral. Describe the more significant cases and identify the counsel involved, including names, current addresses and phone numbers, and dates of your service.

#### **BUSINESS INTERESTS OR OTHER PROFESSIONAL EXPERIENCE**

- 42. If you are now an owner, officer, director, manager or supervisor of any business enterprise (whether for-profit or non-profit), identify the name of the enterprise, nature of the business, title of your position, nature of your duties, term of your service, and whether you intend to resign the position immediately upon your appointment to judicial office. If you do not intend to resign, please provide the reasons.
- 43. During the past five years, have you received any fees or compensation of any kind, other than for legal services rendered, from any business enterprise, institution, organization or association? If so, identify the source of the compensation, nature of the business enterprise, institution, organization or association, dates the compensation was paid, and the nature of any services rendered by you.
- 44. Have you ever held public office other than a judicial office, or have you ever been a candidate for such an office? If so, provide the details, including the office(s) involved, whether you were elected or appointed, the county and state in which you held office, and the dates of your service.

45. Have you ever held or applied for a commission, certificate, credential or license which required proof of good character, other than admission to practice law? If so, identify the date(s) of application, the issuing authority, the disposition of any such application, and, if granted, your number and present status.

#### **WRITING**

46. Describe your significant legal writing. In addition, if you have written, edited or published any legal books, articles, letters to the editor or reports, please list them, giving full citations, dates, and a general description of the subject matter. Please attach a copy of any letters to the editor or op-ed articles you have authored.

#### **HONORS AND AWARDS**

47. List any honors, prizes, awards, scholarships, fellowships or other forms of recognition you have received.

#### ORGANIZATIONS, MEMBERSHIPS AND COMMUNITY ACTIVITIES

- 48. List all bar associations, committees, and legal professional societies in which you are, or have been, a member. In addition, give the titles and dates of any offices you held or subcommittees on which you served.
- 49. List all organizations, boards, clubs, associations or other groups (other than the bar associations and professional societies identified above) in which you have been a member. Regarding those organizations, identify the titles and dates of any offices you have held or committees on which you served.
- 50. Are you a member of any club, organization, association or group that by policy or practice prohibits or limits its membership on the basis of race, color, religion, sexual orientation, gender, disability or national origin? Have you ever been? If so, identify the organization and provide details.
- 51. Describe the nature and extent of any free legal services you have provided to non-profit organizations, indigent individuals or others, including the names and addresses of the organizations and/or individuals and dates of service.
- 52. Describe the nature and extent of your involvement in community activities or community affairs other than those associated with the legal profession.

#### **ADDITIONAL QUESTIONS**

- 53. Have you ever been:
  - a. Summoned, cited, arrested, taken into custody, indicted, convicted or tried for, or charged with, or pleaded guilty or no contest to, the violation of any felony, misdemeanor, or infraction violation (excluding traffic infractions)?

- b. Ordered to appear before any prosecuting attorney, investigative agency, or administrative tribunal, in any matter, military or civil?
- c. Ordered to appear as a party to any grand jury investigation in which you were identified as a subject, or in which you appeared as a witness. If so, provide the details, including the date, description of the alleged offense, locality and disposition.
- 54. Have you ever been a party to, or claimed an interest in, any civil proceedings (including dissolution of marriage, bankruptcy, damage suits, etc.)? Include all legal proceedings in which you were a party in interest, a material witness, or named as a co-conspirator or co-respondent. Do not list proceedings in which you were merely a guardian ad litem or stakeholder. Provide the names, current addresses and telephone numbers of counsel for the parties in each case.
- 55. As an attorney or judicial officer, have you ever been:
  - a. Disciplined or cited for a breach of ethics or unprofessional conduct by, or been the subject of a complaint to, any court, administrative agency, bar association, disciplinary committee or other professional group?
  - b. Sanctioned in excess of \$1,000 by any court or for contempt in any court or tribunal having the power of contempt? If so, give the details, including the relevant dates and the names, current addresses and telephone numbers of the judges involved and the counsel for the adverse parties. (Identify every complaint even if it was dismissed, did not result in disciplinary action or a finding of contempt.)
- 56. Have you ever been charged in any civil, criminal or administrative action with conduct alleged to involve moral turpitude, dishonesty and/or unethical conduct? If so, provide the particulars, including the applicable dates and the names, current addresses and telephone numbers of the counsel for the adverse parties.
- 57. As a member of any organization, or as a holder of any office or license (including a driver's license), have you ever:
  - a. Been suspended, or otherwise disqualified, or had such license suspended or revoked?
  - b. Been reprimanded, censured or otherwise disciplined?
  - c. Had any charges, formal or informal, been made or filed against you? If so, state the complete facts and identify the dates, the disposition, and the organization in possession of the relevant records.
- 58. Have you ever held a bonded position? If so, specify the nature of the position(s), the date(s), and the amount of bond.
  - a. Has anyone ever sought to recover upon your bond or to cancel your bond? If yes, provide the details.

- b. Have you ever been refused bond? If yes, provide the details.
- 59. Have you ever been sued by a client? If so, provide the particulars, including the case name and number, court, resolution, and name, current address and telephone number of counsel for the plaintiff.
- 60. Have you or your professional liability insurance carrier ever settled a claim against you for professional malpractice? If so, provide the particulars, including the amounts involved and the name, current address and telephone number of the claimant and claimant's counsel.
- 61. Are there any unsatisfied judgments against you, or are you in default in any way in the performance or discharge of any duty or obligation imposed upon you by decree or order of any court (including any orders for child and spousal support)? If so, state the full details.
- 62. Has a tax lien or other collection procedure ever been instituted against you by federal, state or local authorities? If so, provide the particulars, including the amount at issue, the applicable dates, and the status of the matter.
- 63. Have you always filed appropriate tax returns as required by federal, state, local and other government agencies? If not, provide an explanation, including the amount at issue, date and resolution.
- 64. Have you ever illegally used drugs? If so, when was the last time you did so? Are you currently engaged in the illegal use of drugs? Explain.
- 65. If you drink alcoholic beverages, describe your drinking and the frequency of your drinking.
- 66. If you have ever participated in gambling, betting or gaming activities, describe your participation and the frequency of your participation.
- 67. If any articles or allegations have ever been printed or broadcast or otherwise made public concerning you or your activities, views or statements (irrespective of factual accuracy) which may reflect adversely on your character or job performance, identify the material, explain fully, and attach a copy of each publication to this application. (If the volume is extensive, attach representative samples of the publications and specify where the balance may be reviewed.)
- 68. Are you aware of any individual(s) or group(s) who might oppose your appointment? If so, identify the potential opponents and provide any needed explanation.
- 69. Describe any aspects of your personal, business, professional conduct or background, which might reflect positively and/or adversely on you or the Governor or which you believe should be disclosed to the Governor in connection with your application for appointment to judicial office.

## **FURTHER INFORMATION**

70. Please list five references (name, current address and telephone number).

Please attach your photograph (optional) and a copy of your resume. Attach a sample or samples of your legal writing (such as an appellate brief, memorandum of points and authorities, advice letter, legal opinion, etc.). The sample(s) should not exceed a total of 50 pages.